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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/981,251	
	Filing Date	10/16/2001	
	First Named Inventor	Vishwanath, et al.	
	Art Unit	3621	
	Examiner Name	Cheung	
Total Number of Pages in This Submission		Attorney Docket Number	64423-00040

ENCLOSURES (Check all that apply)		
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<b>Remarks</b> Information Disclosure Statement by Applicant (formerly 1449/PTO; 3 References; and Return receipt postcard.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Locke Liddell & Sapp LLP - CUSTOMER NUMBER 22904	
Signature		
Date	February 27, 2004	

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Date	2-27-2004

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*Applicants:*

**Balamani S. Vishwanath**  
**Brian D. Mantz**

*Serial No.:* **09/981,251**  
*Filing Date:* **October 16, 2001**

*Title:* **User/Product Authentication and  
Piracy Management System**

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*Art Group No.:* **2161**

*Examiner:* **Unknown**

*Attorney Docket No:* **64423-00040**

*Patricia Lewis*  
*#4/205*  
*3.3.04*

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, Applicant hereby submits the following references:

**PATENTS:**

US 6,405,203 B1; US 6,393,569B1; EP 1 246 445 A1

Copies of the cited references are enclosed. The listed references were recently cited by the European Patent Office's International Search Report, dated January 27, 2004, in the corresponding PCT Application No. PCT/US02/00041.

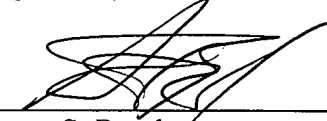
Legible copies of all items listed in the attached Form PTO/SB/08A (formerly PTO-1449) accompany this Information Disclosure Statement.

Citation of these references should not be construed as an admission that they constitute prior art of the claimed invention.

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Applicant believes that no fees are due with the submission of this document. However, if Applicant is in error, the Commissioner is hereby authorized to debit the Locke Liddell & Sapp LLP Deposit Account No. 12-1322 (64423-00040).

Respectfully submitted,

  
\_\_\_\_\_  
Steven S. Boyd  
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Dated: February 27, 2004

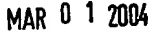
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Application Number	09/981,251
Filing Date	10/16/2001
First Named Inventor	Vishwanath
Art Unit	3621
Examiner Name	Unknown
Attorney Docket Number	64423-00040

[illegible][illegible]

Examiner  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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